



**AQMETRICS**

# **Comprehensive Coverage for SEC Shareholding Disclosure**

**Datasheet**

# Form 13G, Form 13H, and Form 13D SEC Reporting Made Easy with AQMetrics

Streamline the reporting process for **Shareholding Disclosure**, including Forms 13G, 13H, and 13D. Our platform simplifies data collection, validation, and submission, ensuring timely and accurate compliance with SEC regulations.



## Data Management

Powerful and flexible data extraction, transformation, and load, enabling a single source of truth with robust data management capabilities.



## Data Validation & Compliance Checks

Integrated validation ensures all required information is accurate and compliant with regulatory standards before submission.



## Automated Data Collection

Streamlined data capture from various sources, reducing the burden of manual input and minimizing errors.



## Real-time Monitoring & Alerts

Stay ahead of reporting deadlines with automated reminders and alerts, ensuring that no submission is missed.

## The US Regulatory Reporting solution trusted by leaders for:

- ✓ Data collection
- ✓ Data conversion
- ✓ Data validation
- ✓ Data loading
- ✓ Data aggregation
- ✓ Report methodologies
- ✓ Report validation
- ✓ Report submission

## Let our award-winning technology do the heavy lifting. Streamlined Approach to Shareholding Disclosure Filings

### ✓ Form 13G (Beneficial Ownership Reporting)

Effortlessly track and report on passive investors exceeding the 5% threshold with our automated workflows. Timely updates ensure you remain compliant with SEC requirements.

### ✓ Form 13H (Large Trader Reporting)

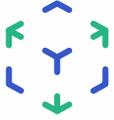
Automate the reporting of large trader information, including real-time transaction monitoring and audit trails, ensuring full transparency and accuracy.

### ✓ Form 13D (Active Investor Reporting)

Efficiently file reports for activist investors or anyone with intentions of taking a more active role in corporate governance. The AQMetrics' award-winning platform supports all necessary schedules and amendments.

## Our SEC Reporting Customers:

We pride ourselves on our approach to customer success.



### Innovation

We have the courage to continuously evolve. We add value and enable our customers to be successful through innovation.



### Regulatory Change-Ready

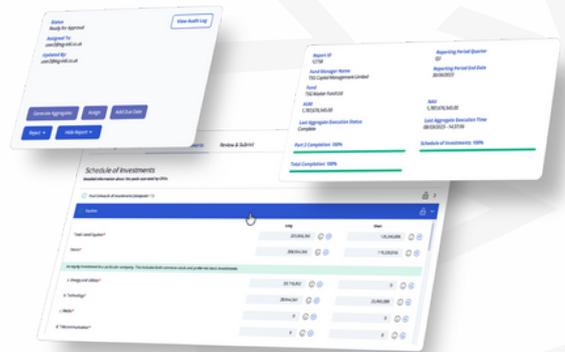
Change in regulatory reporting is inevitable. Our technology is purpose-built for reporting changes across different jurisdictions.



### In-House Expertise

We have the regulatory know-how to ensure you are always compliant. Leverage the extensive expertise of our world-class customer success team.

## Our award-winning technology is trusted by leaders for SEC Reporting:



## Authorised and regulated by ESMA and the FCA, and ISO 27001 certified.

AQMetrics reports to the **SEC, CTFC, NFA, CBI, FCA, BaFin, AFM, AMF, CSSF, CNMV, CySEC, Finanstilsynet, the Swedish Financial Supervisory Authority (Finansinspektionen), The Danish Financial Authority, FIN-FSA, MAS and FSMA.**



## Experience the Future of SEC Reporting with AQMetrics

AQMetrics is the preferred technology for regulated asset and investment managers. Our advanced cloud-based solution offers seamless end-to-end data-driven automation, guaranteeing you consistent compliance every step of the way. Trust AQMetrics for regulatory excellence.

### Our Locations:

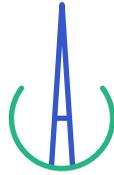
AQMetrics has a presence in the leading financial capitals around the world.



**New York**



**London**



**Dublin**



**Singapore**

### Book a Demo:

Streamline your **SEC Reporting** needs with AQMetrics, a platform purpose-built for modern financial firms.

Scan the QR code to **book a demo**.

 [sales@aqmetrics.com](mailto:sales@aqmetrics.com)

 [aqmetrics.com/demo](https://aqmetrics.com/demo)



### Disclaimer:

At AQMetrics, we provide a managed services platform that helps our clients address their most complex regulatory challenges. As a global software company, we bring multi-jurisdictional knowledge and high-quality technology to our clients.

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